

**PATENT**  
Atty Docket No.: 100110474-2

**In The U.S. Patent and Trademark Office**

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**In Re the Application of:**

**OCT 31 2006**

<b>Inventor(s):</b>	Robert J. Gallagher et al.	<b>Confirmation No.:</b>	9510
<b>Serial No.:</b>	09/995,318	<b>Examiner:</b>	Florian M. Zeender
<b>Filed:</b>	November 26, 2001	<b>Group Art Unit:</b>	3627
<b>Title:</b>	INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL DATA COMPUTATION AND ANALYSIS		

**MAIL STOP AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

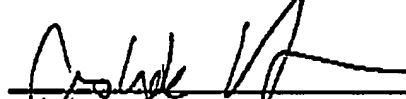
**CERTIFICATE OF FACSIMILE TO THE USPTO**

I hereby certify that this correspondence is being transmitted to the Patent and Trademark Office facsimile number (571) 273-8300 on October 31, 2006. This correspondence contains the following document(s):

1 sheet of Transmittal Letter for Response/Amendment (2 copies).  
16 sheets of Amendment Under 37 C.F.R. § 1.116.

Respectfully submitted,

MANNAVA & KANG, P.C.

  
\_\_\_\_\_  
Ashok K. Mannava

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October 31, 2006

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Intellectual Property Administration  
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Fort Collins, Colorado 80527-2400  
OCT 31 2006 ATTORNEY DOCKET NO. 100110474-2  
PATENT APPLICATION

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert J. Gallagher et al.

Confirmation No.: 9510

Application No.: 09/995,318

Examiner: Zeender, Florian

Filing Date: Nov. 26, 2001

Group Art Unit: 3627

Title: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL DATA COMPUTATION AND ANALYSIS

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Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

Response/Amendment  Petition to extend time to respond  
 New fee as calculated below  Supplemental Declaration  
 No additional fee  
 Other: \_\_\_\_\_ (fee \$ \_\_\_\_\_)

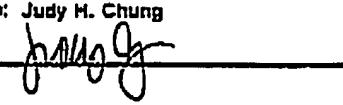
CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY							
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES	
TOTAL CLAIMS	13	MINUS	20	= 0	X \$50	\$ 0	
INDEP. CLAIMS	4	MINUS	18	= 0	X \$200	\$ 0	
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$360	\$ 0	
EXTENSION FEE	1ST MONTH \$120.00	2ND MONTH \$450.00	3RD MONTH \$1020.00	4TH MONTH \$1590.00		\$ 0	
OTHER FEES							\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT							\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

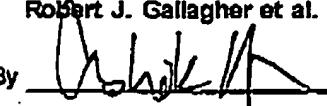
Respectfully submitted,

I hereby certify that this paper is being transmitted  
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number 7571-273-8300 on Oct 31 2006  
Number of pages: 19

Typed Name: Judy H. Chung

Signature: 

Robert J. Gallagher et al.

By 

Ashok K. Mannava

Attorney/Agent for Applicant(s)  
Reg. No. 45,301

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

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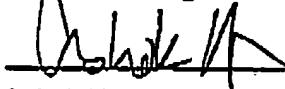
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By



Ashok K. Mannava

Attorney/Agent for Applicant(s)  
Reg. No. 45,301

Date: Oct. 31, 2006

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Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. § 1.116

Sir:

In response to the Office Action dated August 2, 2006, kindly amend the application identified above as follows.